SEC Form 4

Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

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Filed nursuant to	Section 16(a)	of the Securities	Evchange	Act of	103

1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Hargis Jonathan				uer Name and Ticke ARTER COM <u>)/</u> [CHTR]				(Checl	ationship of Reportin (all applicable) Director Officer (give title	orting Person(s) to Issuer 10% Owner				
(Last)	(First)						X	below)						
(Last) (First) (Middle) C/O CHARTER COMMUNICATIONS, INC. 400 ATLANTIC STREET				te of Earliest Transa 1/2020	ction (N	lonth/	Day/Year)		EVP/Chief Marketing Officer					
·				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable				
(Street)	CT.							Line)	Form filed by One	e Reporting Pers	on			
STAMFORD	СТ	06901	_							Form filed by More than One Reporting Person				
(City)	(State)	(Zip)												
		Table I - Non-Dei	ivative	Securities Acq	uired	, Dis	posed of,	or Ben	eficially	Owned				
1. Title of Security (Instr. 3) Date (Month/D			saction	2A. Deemed	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4			5. Amount of	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
1. The of Security	. ,	Date	/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (D) (Instr.	3, 4 and 5)	Securities Beneficially Owned Following	Form: Direct (D) or Indirect	Beneficial Ownership		
1. The of Security	. /	Date	/Day/Year)	if any	Code (D) (Instr. (A) or (D)	3, 4 and 5) Price	Beneficially	Form: Direct (D) or Indirect	Beneficial		
Class A Common	ı Stock	Date (Month	/Day/Year) 1/2020	if any	Code (8)	Instr.	Disposed Of (Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect	Beneficial Ownership		
		Date (Month		if any	Code (8) Code	Instr.	Disposed Of (Amount	(A) or (D)	Price	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
Class A Common	n Stock	Date (Month	1/2020	if any	Code (8) Code M	Instr.	Disposed Of (Amount 20,093 ⁽¹⁾	(A) or (D) A	Price \$221.25	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 34,682	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Options	\$221.25	07/01/2020		М			20,093 ⁽³⁾	(1)	(1)	Class A Common Stock	20,093	\$0	145,677	D	

Explanation of Responses:

1. Exercise of portion of stock options granted on June 17, 2016 under the Charter Communications, Inc. Amended and Restated 2009 Stock Incentive Plan: 45,209 shares subject to the attainment of a \$289.76 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$364.97 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of \$455.66 per-share hurdle (with a 10-year term); 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with a 10-year term); and 45,210 shares subject to the attainment of a \$496.58 per-share hurdle (with the stock options will be first eligible to vest on each of the third, fourth and fifth anniversaries of the date of grant subject to achievement of the applicable per-share price hurdles. Any options that have not vested within 6 years from the date of grant will be cancelled.

2. Withholding of securities for the purpose of paying the exercise price and paying taxes.

3. Executed pursuant to a 10b5-1 plan.

Remarks:

/s/Daniel J. Bollinger as attorney-in-fact for Jonathan **Hargis**

07/06/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.