SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
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Instruction 1(b)		F	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934		hours per response: 0.5			
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of Reporting Person* <u>Zinterhofer Eric Louis</u> (Last) (First) (Middle) C/O CHARTER COMMUNICATIONS, INC. 400 ATLANTIC STREET (Street)			2. Issuer Name and Ticker or Trading Symbol <u>CHARTER COMMUNICATIONS, INC.</u> / <u>MO/</u> [CHTR] 3. Date of Earliest Transaction (Month/Day/Year) 04/27/2021		ationship of F k all applicab Director Officer (giv below)	le)	rson(s) to Issuer 10% Owner Other (specil below)	ÿ
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line) X		t/Group Filing (Check Applica by One Reporting Person		3ble
STAMFORD	CT	06901			Form filed Person	by More tha	n One Reporting	
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Class A Common Stock	04/27/2021		A		504 ⁽¹⁾	A	\$ <mark>0</mark>	18,567	D	
Class A Common Stock	04/27/2021		A		183(2)	A	\$ <mark>0</mark>	18,750	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(**5*) [**	,	, .		,					,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Grant of Restricted Stock (price not applicable) valued at \$330,000 on date of grant, to fully vest on the anniversary date of the grant.

2. Grant of Restricted Stock (price not applicable) under an election offered by Charter Communications, Inc. to its eligible non-employee directors to accept board retainer in stock in lieu of cash valued at \$120,000 on date of grant, to fully vest on anniversary date of grant.

Remarks:

/s/Daniel J. Bollinger as attorney-in-fact for Eric L.

<u>Zinterhofer</u>

04/29/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

to Section 16. Form 4 or Form 5 obligations may continue. See